

## Stoichiometries and Equilibrium Constants of Cyclodextrin-Surfactant Complexations

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General and rigorous theory for equilibrium concentrations of uncomplexed species and 1:1, 1:2, 2:1, and 2:2 complexes of cyclodextrin (D) and surfactant has been developed and applied to evaluate the binding constants of these complexations from surface tension data of aqueous solutions of dodecyl maltoside (DM) with  $\alpha$ -,  $\beta$ -, and  $\gamma$ -D, reported by Saeger and Muller-Fahrnow. The orders of the binding constants for 1:1 and 2:1 complexations of D and DM are  $\alpha$ -D >  $\beta$ -D >  $\gamma$ -D. Those for 1:2 and 2:2 complexations are  $\gamma$ -D >  $\beta$ -D >  $\alpha$ -D. These orders are explained on the basis of the goodness of fit of the dodecyl chain into the cyclodextrin cavity. Furthermore, the theory for the effects of D on the molar conductivity and the critical micelle concentration (cmc) of an ionic surfactant is modified by accounting for the change of these values with the surfactant concentration and applied to evaluate the binding constants for 1:1, 1:2, and 2:1 complexations of sodium dodecyl sulfate (SDS) and  $\beta$ -D from conductance and cmc data reported by Palepu and Reinsborough. Although there are several uncertainties in experimental data and their interpretations for the  $\beta$ -D-SDS system, the 1:1 complexation is predominant and its binding constant appears to be 5000 dm<sup>3</sup> mol<sup>-1</sup>. Based on the present analysis, it is suggested that since a surfactant is a long and fine molecule, relative to aromatic compounds, its ternary and quaternary complexes with D's as well as its binary complex should be taken into consideration.

Cyclodextrins are a group of doughnut-shaped oligosaccharides built up from six, seven, or eight glucopyranose rings, respectively known as  $\alpha$ -,  $\beta$ -, and  $\gamma$ -cyclodextrin. The interior of the doughnut, lined with CH groups, provides a relatively hydrophobic environment. One side of the torus contains primary hydroxyl groups, whereas the secondary hydroxyl groups are located on the other side. This structure, shown in Fig. 1, allows the cyclodextrin cavity to entrap organic compounds (substituted aromatic compounds, amino acids, nucleotides, etc.), ions, and gases.<sup>1,2)</sup> To a first approximation the magnitude of binding constants correlates with the fit of the guest in the cyclodextrin cavity. Substrate-cyclodextrin binding constants range from 10<sup>2</sup> to 10<sup>7</sup> dm<sup>3</sup> mol<sup>-1</sup>. The driving force for substrate-cyclodextrin complex formation has been

discussed in terms of van der Waal's interactions, hydrogen bonding, hydrophobic interactions, and the release of high energy water molecules and of steric strains on complexation.<sup>1,2)</sup>

Since cyclodextrins (D) provide chiral cavities, complex formation can be stereoselective and they can be used to separate enantiomers. Since they can accelerate chemical transfer reactions to a considerable extent, they have served as extremely useful models for enzyme-like interactions.<sup>1)</sup> They can give rise to beneficial modifications of guest molecules not otherwise achievable; solubility enhancement, stabilization of labile guests, control of volatility and sublimation, and physical isolation of incompatible compounds. Since they are water-soluble and practically non-toxic, they are added into pharmaceuticals and foods, e.g., for stabilization of labile compounds and long-term protection of color, odor, and flavor.<sup>2)</sup>

A number of studies are focussed on interactions of D's with ionic surfactants.<sup>3–14)</sup> A 1:1 stoichiometric binding is presumed and its binding constant  $K_1$  is evaluated.<sup>3–12,14)</sup> The effects of the kind of D's and the head group and chain length of surfactants (S) on their complexations are also investigated.<sup>4,8,10)</sup> However, the stoichiometry and magnitude of binding constants are still controversial. For instance, the binding constants of  $\beta$ -D with sodium dodecyl sulfate (SDS) are reported to be 300,<sup>7)</sup> 356,<sup>3)</sup> 1300–7230,<sup>8)</sup> 3630,<sup>6)</sup> 3200–18000,<sup>14)</sup> and 25600 dm<sup>3</sup> mol<sup>-1</sup>,<sup>10)</sup> and are dependent on the SDS concentration.<sup>8,14)</sup> This wide spread in  $K_1$  suggests that other stoichiometric bindings, such as D<sub>2</sub>S<sup>10,14)</sup> and D<sub>2</sub>S<sub>2</sub>,<sup>10)</sup> occur.<sup>8)</sup> To our knowledge, only a few reports deal with the interaction between a cyclodextrin and a nonionic surfactant.<sup>13,15)</sup>

In this work we report a general quantitative treatment

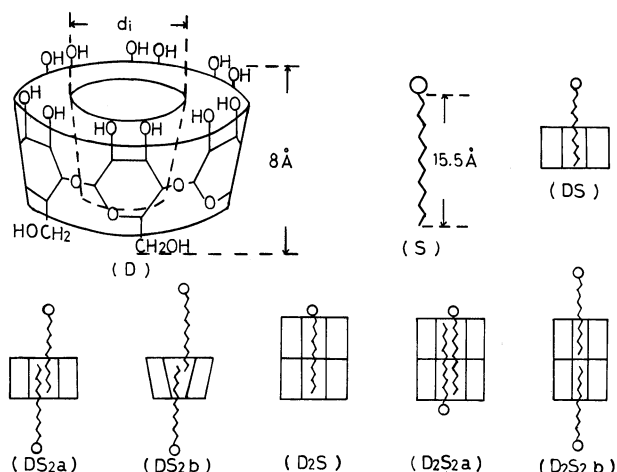


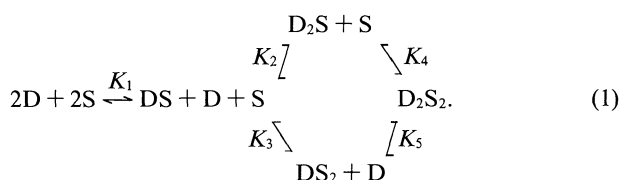
Fig. 1. Schematic structures of cyclodextrin (D), surfactant (S), and their complexes: for  $\alpha$ -D,  $d_i=4.5$ –5.2 Å; for  $\beta$ -D,  $d_i=6.0$ –7.0 Å; for  $\gamma$ -D,  $d_i=7.5$ –8.5 Å.<sup>1,2)</sup>

for cyclodextrin-surfactant interactions including 1:1, 1:2, 2:1, and 2:2 complexes. Furthermore, we apply it to surface tension data for D's and dodecyl maltoside (DM)<sup>15)</sup> and to the effects of  $\beta$ -D on the critical micelle concentration (cmc) and the molar conductivity of SDS,<sup>8)</sup> since these experimental data are rather extensive.

### Basic Theory

#### Material Balance for Cyclodextrin-Surfactant Systems.

In general, we suppose four complex species of D and S, viz., DS, D<sub>2</sub>S, DS<sub>2</sub>, and D<sub>2</sub>S<sub>2</sub>:



The equilibrium constants for these bindings are defined as

$$K_1 = [\text{DS}]/[\text{D}][\text{S}], \quad (2)$$

$$K_2 = [\text{D}_2\text{S}]/[\text{DS}][\text{D}], \quad (3)$$

$$K_3 = [\text{DS}_2]/[\text{DS}][\text{S}], \quad (4)$$

$$K_4 = [\text{D}_2\text{S}_2]/[\text{D}_2\text{S}][\text{S}], \quad (5)$$

and

$$K_5 = [\text{D}_2\text{S}_2]/[\text{DS}_2][\text{D}]. \quad (6)$$

These constants are connected by the equation of  $K_2K_4=K_3K_5$ . The total concentration  $C_D$  of D can be written as

$$\begin{aligned}
 C_D &= [\text{D}] + [\text{DS}] + 2[\text{D}_2\text{S}] + [\text{DS}_2] + 2[\text{D}_2\text{S}_2] \\
 &= [\text{D}] + K_1[\text{D}][\text{S}] + 2K_1K_2[\text{D}]^2[\text{S}] + K_1K_3[\text{D}][\text{S}]^2 \\
 &\quad + 2K_1K_3K_5[\text{D}]^2[\text{S}]^2.
 \end{aligned} \quad (7)$$

The total concentration  $C_S$  of S can be written as

$$\begin{aligned}
 C_S &= [\text{S}] + [\text{DS}] + [\text{D}_2\text{S}] + 2[\text{DS}_2] + 2[\text{D}_2\text{S}_2] \\
 &= [\text{S}] + K_1[\text{D}][\text{S}] + K_1K_2[\text{D}]^2[\text{S}] + 2K_1K_3[\text{D}][\text{S}]^2 \\
 &\quad + 2K_1K_3K_5[\text{D}]^2[\text{S}]^2.
 \end{aligned} \quad (8)$$

Three general cases including realistic ones will be considered; case i containing DS, D<sub>2</sub>S, and DS<sub>2</sub>, case ii containing DS, DS<sub>2</sub>, and D<sub>2</sub>S<sub>2</sub>, and case iii containing DS, D<sub>2</sub>S, and D<sub>2</sub>S<sub>2</sub>. For case i, combination of Eqs. 7 and 8 yields

$$\begin{aligned}
 \{1 + 4K_1K_2C_D[\text{S}] - K_1(K_1 + 2K_3)[\text{S}]^2 - 4K_1^2K_3[\text{S}]^3 \\
 - 3K_1^2K_3^2[\text{S}]^4 - (1 - K_1[\text{S}] - 3K_1K_3[\text{S}]^2)[(1 + K_1[\text{S}] \\
 + K_1K_3[\text{S}]^2)^2 + 8K_1K_2C_D[\text{S}]]^{1/2}\}/8K_1K_2[\text{S}]
 \end{aligned}$$

$$+ [\text{S}] - C_S = 0. \quad (9)$$

When  $C_S$ ,  $C_D$ ,  $K_1$ ,  $K_2$ , and  $K_3$  are known, one can solve Eq. 9 by the Newton-Raphson method<sup>16)</sup> to obtain free surfactant concentration  $[\text{S}]$ . Then one can obtain free cyclodextrin concentration  $[\text{D}]$  from

$$\begin{aligned}
 [\text{D}] &= \{-1 - K_1[\text{S}] - K_1K_3[\text{S}]^2 + [(1 + K_1[\text{S}] + K_1K_3[\text{S}]^2)^2 \\
 &\quad + 8K_1K_2C_D[\text{S}]]^{1/2}\}/4K_1K_2[\text{S}].
 \end{aligned} \quad (10)$$

Furthermore, the concentrations of DS, D<sub>2</sub>S, and DS<sub>2</sub> can be calculated from Eqs. 2–4. Unless any D<sub>2</sub>S is formed, viz.,  $K_2=0$ , one can calculate  $[\text{S}]$  and  $[\text{D}]$  from

$$\begin{aligned}
 K_1K_3[\text{S}]^3 + K_1[1 + K_3(2C_D - C_S)][\text{S}]^2 \\
 + [1 + K_1(C_D - C_S)][\text{S}] - C_S = 0
 \end{aligned} \quad (11)$$

and

$$[\text{D}] = C_D/(1 + K_1[\text{S}] + K_1K_3[\text{S}]^2). \quad (12)$$

When  $K_3=0$ , one can calculate  $[\text{D}]$  and  $[\text{S}]$  from

$$\begin{aligned}
 K_1K_2[\text{D}]^3 + K_1[1 + K_2(2C_S - C_D)][\text{D}]^2 \\
 + [1 + K_1(C_S - C_D)][\text{D}] - C_D = 0
 \end{aligned} \quad (13)$$

and

$$[\text{S}] = C_S/(1 + K_1[\text{D}] + K_1K_2[\text{D}]^2). \quad (14)$$

For case ii where three complexes of DS, DS<sub>2</sub>, and D<sub>2</sub>S<sub>2</sub> are present, one can obtain  $[\text{S}]$  from

$$\begin{aligned}
 (1 - K_1K_3[\text{S}]^2)\{[1 + K_1[\text{S}] + K_1K_3[\text{S}]^2 - [(1 + K_1[\text{S}] \\
 + K_1K_3[\text{S}]^2)^2 + 8K_1K_3K_5C_D[\text{S}]]^{1/2}\}/4K_1K_3K_5[\text{S}]^2 \\
 + [\text{S}] - C_S + C_D = 0.
 \end{aligned} \quad (15)$$

For case iii where DS, D<sub>2</sub>S, and D<sub>2</sub>S<sub>2</sub> are present, one can obtain  $[\text{S}]$  from

$$\begin{aligned}
 \{(1 + K_1[\text{S}])(1 - K_1[\text{S}] + 2K_4[\text{S}]) - 4K_1K_2[\text{S}]C_D(1 + K_4[\text{S}]) \\
 + (2K_1K_4[\text{S}]^2 + K_1[\text{S}] - 1)[(1 + K_1[\text{S}])^2 + 8K_1K_2[\text{S}]C_D \\
 (1 + K_4[\text{S}])^{1/2}\}/8K_1K_2[\text{S}](1 + K_4[\text{S}])^2 + [\text{S}] - C_S + C_D \\
 = 0.
 \end{aligned} \quad (16)$$

Unless micelles contain any form of D, one can set  $[\text{S}]$  to  $\text{cmc}_0$ , viz., the cmc in the absence of D. By using the above equations for  $C_S$ , one can calculate cmc as a function of  $C_D$  in the presence of D. For instance, the cmc of a nonionic surfactant, under the condition where DS only is present as a complex, is given by<sup>3)</sup>

$$\text{cmc} = K_1 \text{cmc}_0 C_D / (1 + K_1 \text{cmc}_0) + \text{cmc}_0. \quad (17)$$

When DS and DS<sub>2</sub> only are present as complexes, one can write cmc as

$$\text{cmc} = (K_1 \text{cmc}_0 + 2K_1 K_3 \text{cmc}_0^2) C_D / (1 + K_1 \text{cmc}_0 + K_1 K_3 \text{cmc}_0^2) + \text{cmc}_0. \quad (18)$$

Here it is noted that both Eqs. 17 and 18 predict linear increases of cmc with increasing  $C_D$ . This slope should be less than unity for Eq. 17, whereas it can be greater than unity for Eq. 18 when  $K_1 K_3 \text{cmc}_0^2$  is greater than unity.

**Ionic Surfactants.** Unless ion-pairing occurs for any complex, Eqs. 2–16 may also hold for the complexation of D and uni-univalent ionic surfactant (SNa). However, expressions for cmc may be modified, since cmc decreases with increasing counterion concentration  $[\text{Na}^+]$  as<sup>17)</sup>

$$\text{cmc} = \text{cmc}_0^{1+\beta} [\text{Na}^+]^{-\beta} = a [\text{Na}^+]^{-\beta}. \quad (19)$$

Here  $a = \text{cmc}_0^{1+\beta}$  and  $\beta$  is an empirical constant obtained from the dependence of cmc on the concentration of salt added. In the presence of SNa and D, one can write [S] as

$$[\text{S}] = a \text{cmc}^{-\beta}. \quad (20)$$

When DS and D<sub>2</sub>S are present, by using Eqs. 13, 14, and 20, one can calculate cmc ( $C_S$ ) from

$$\begin{aligned} & 8K_1 K_2 a \text{cmc}^{1-\beta} - K_1 (8K_2 - K_1) a^2 \text{cmc}^{-2\beta} - 4K_1 K_2 a C_D \text{cmc}^{-\beta} \\ & - 1 - (K_1 a \text{cmc}^{-\beta} - 1) [(1 + K_1 a \text{cmc}^{-\beta})^2 \\ & + 8K_1 K_2 a C_D \text{cmc}^{-\beta}]^{1/2} = 0. \end{aligned} \quad (21)$$

When DS only is present, by setting  $K_2 = 0$  in Eq. 21, one can calculate cmc from

$$\text{cmc} = K_1 a \text{cmc}^{-\beta} C_D / (1 + K_1 a \text{cmc}^{-\beta}) + a \text{cmc}^{-\beta}. \quad (22)$$

When  $\beta$  is zero in this equation, Eq. 22 reduces to Eq. 17. When DS and DS<sub>2</sub> are present as complexes, by using Eq. 11, one can calculate cmc ( $C_S$ ) from

$$\begin{aligned} & K_1 K_3 a^3 \text{cmc}^{-3\beta} + K_1 a^2 [1 + K_3 (2C_D - \text{cmc})] \text{cmc}^{-2\beta} \\ & + a [1 + K_1 (C_D - \text{cmc})] \text{cmc}^{-\beta} - \text{cmc} = 0. \end{aligned} \quad (23)$$

When DS and D<sub>2</sub>S are present as complexes, the apparent molar conductivity  $\Lambda$  of the surfactant below the cmc may be written approximately as

$$\Lambda = (\lambda_S [\text{S}] + \lambda_{\text{DS}} [\text{DS}] + \lambda_{\text{D}_2\text{S}} [\text{D}_2\text{S}]) / C_S + \lambda_{\text{Na}^+}. \quad (24)$$

Here  $\lambda_i$  denotes the molar conductivity of ionic species  $i$ . The decrease in molar conductivity  $\Delta\Lambda$  upon addition of

D may be written as

$$\Delta\Lambda = (\Delta\lambda_1 [\text{DS}] + \Delta\lambda_2 [\text{D}_2\text{S}]) / C_S. \quad (25)$$

Here  $\Delta\lambda_1 = \lambda_S - \lambda_{\text{DS}}$  and  $\Delta\lambda_2 = \lambda_S - \lambda_{\text{D}_2\text{S}}$ . Since the order of molecular sizes of S, DS, and D<sub>2</sub>S is  $\text{D}_2\text{S} > \text{DS} > \text{S}$ , it can be predicted that the order of these molar conductivities is  $\lambda_S > \lambda_{\text{DS}} > \lambda_{\text{D}_2\text{S}}$ . When we attempt to apply Eq. 25 to conductance data, four parameters of  $K_1$ ,  $K_2$ ,  $\Delta\lambda_1$ , and  $\Delta\lambda_2$  must be estimated. When DS only is present as a complex, Eq. 25 reduces to<sup>4)</sup>

$$\begin{aligned} \Delta\Lambda &= \Delta\lambda_1 \{ K_1 (C_S + C_D) + 1 - [(K_1 (C_S + C_D) + 1)^2 \\ & - 4K_1^2 C_S C_D]^{1/2} \} / 2K_1 C_S. \end{aligned} \quad (26)$$

When DS and DS<sub>2</sub> are present as complexes,  $\Delta\Lambda$  may be written as

$$\Delta\Lambda = (\Delta\lambda_1 [\text{DS}] + \Delta\lambda_3 [\text{DS}_2]) / C_S. \quad (27)$$

Here  $\Delta\lambda_3 = 2\lambda_S - \lambda_{\text{DS}_2}$ . When we attempt to apply Eq. 27 to conductance data, four parameters of  $K_1$ ,  $K_3$ ,  $\Delta\lambda_1$ , and  $\Delta\lambda_3$  must be estimated.

## Results

**Surface Tension Data for the D-DM Systems.** Surface tensions of aqueous DM solution containing either  $\alpha$ -,  $\beta$ -, or  $\gamma$ -D were measured at 20°C by Saeger and Muller-Fahrnow.<sup>15)</sup> They suggested that the complex formation for these systems is more complicated than a simple 1:1 stoichiometry.

From the Gibbs adsorption equation,<sup>18)</sup> the decrease in surface tension  $\sigma$  for the present conditions may generally be written as

$$\begin{aligned} -d\sigma &= RT \{ \Gamma_S d\ln[\text{S}] + \Gamma_D d\ln[\text{D}] + \Gamma_{\text{DS}} d\ln[\text{DS}] \\ &+ \Gamma_{\text{D}_2\text{S}} d\ln[\text{D}_2\text{S}] + \Gamma_{\text{DS}_2} d\ln[\text{DS}_2] + \Gamma_{\text{D}_2\text{S}_2} d\ln[\text{D}_2\text{S}_2] \}, \end{aligned} \quad (28)$$

where  $\Gamma_i$  denotes the surface excess of species  $i$  at the air/water interface. Since the addition of  $\alpha$ -,  $\beta$ -, and  $\gamma$ -D does not decrease the surface tension of water, we can safely set  $\Gamma_D$  to zero; viz., the cyclodextrins are surface-inactive.<sup>15)</sup> Therefore the complexes of DS, D<sub>2</sub>S, DS<sub>2</sub>, and D<sub>2</sub>S<sub>2</sub> are expected to be less surface-active than DM. When competitive adsorption of these complexes and DM takes place on the aqueous surface, we may assume that the surfactant only is predominantly adsorbed, regardless of the presence of the complexes. This assumption is supported by the experimental results shown in Figs. 2–4. The solid lines show the observed  $\sigma$  values, which were read from Fig. 1 of Ref. 15 after its expansion. Here it is noted that the surface tension above the cmc is independent of  $C_D$ . Therefore we can assume that the surface tension shown in these figures depends on free DM concentration [S] only.

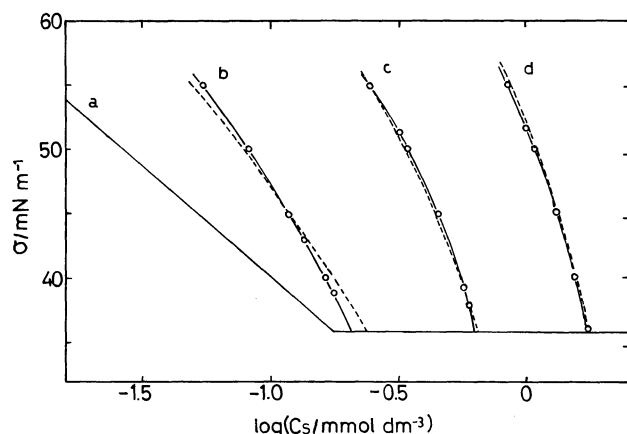


Fig. 2. Dependence of surface tension on the DM concentration in the presence of  $\alpha$ -D at four concentrations ( $\text{mmol dm}^{-3}$ ): a, 0; b, 0.1; c, 0.6; d, 2.1. The solid lines and hollow circles show the observed values<sup>15)</sup> and the dashed lines are calculated on the basis of model  $\alpha 2$ .

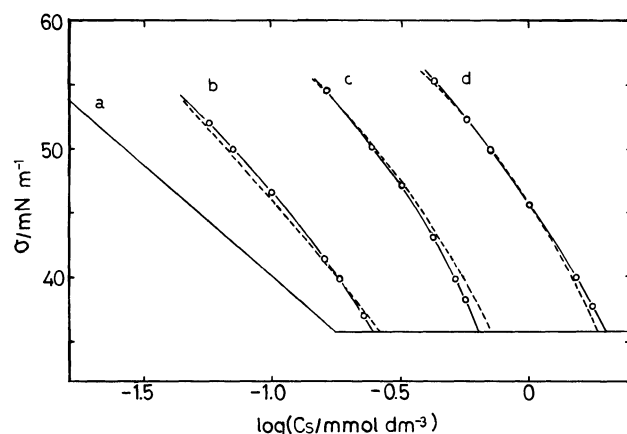


Fig. 3. Dependence of surface tension on the DM concentration in the presence of  $\beta$ -D at four concentrations ( $\text{mmol dm}^{-3}$ ): a, 0; b, 0.1; c, 0.57; d, 1.74. The solid lines and hollow circles show the observed values<sup>15)</sup> and the dashed lines are calculated on the basis of model  $\beta 2$ .

The concentration dependence of  $\sigma$  for DM solutions may be written between 36 and  $56 \text{ mN m}^{-1}$  as<sup>15)</sup>

$$\sigma = -17.69 \log [S] + 22.22. \quad (29)$$

From Eqs. 28 and 29 we can estimate  $\Gamma_s$  to be  $3.16 \times 10^{-10} \text{ mol cm}^{-2}$  in the absence of D. This adsorption amount corresponds to a molecular occupied area of  $52.6 \text{ \AA}^2$ . The estimation of binding constants was carried out by minimizing the SS value defined by

$$SS = \sum_{i=1}^{18} (\sigma_{i,\text{obsd}} - \sigma_{i,\text{calcd}})^2. \quad (30)$$

The standard deviation in the estimated value of an

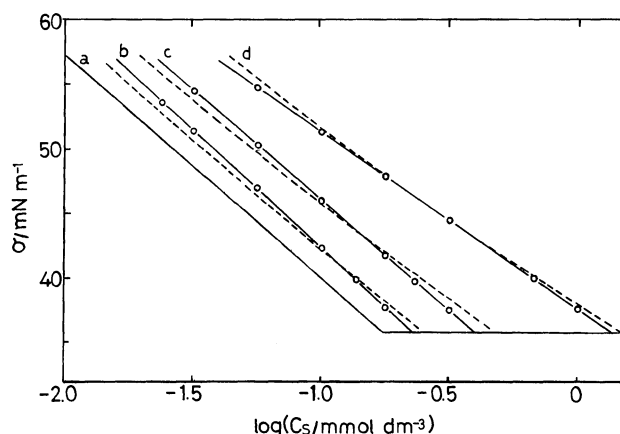


Fig. 4. Dependence of surface tension on the DM concentration in the presence of  $\gamma$ -D at four concentrations ( $\text{mmol dm}^{-3}$ ): a, 0; b, 0.6; c, 2.0; d, 6.63. The solid lines and hollow circles show the observed values<sup>15)</sup> and the dashed lines are calculated on the basis of model  $\gamma 4$ .

adjustable parameter was also calculated<sup>19)</sup> and will be shown in tables. Six observed  $\sigma$  values for each D concentration are shown by the open circles in Figs. 2–4. For nonlinear least-squares fitting,<sup>19)</sup> 18 data points were used for each system of D and DM.

As a first model for  $\alpha$ -D, we considered the case where DS complex only is formed;  $K_2=0$  and  $K_3=0$ . If an initial value of  $K_1$  is given, we can calculate  $[S]$  for a set of  $C_s$  and  $C_D$  from Eq. 11 with  $K_3=0$ . The  $\sigma$  value corresponding to this  $[S]$  value can be calculated from Eq. 29. Then the SS value for 18 sets of  $C_s$  and  $C_D$  is calculated from Eq. 30. This procedure is repeated by adjusting the  $K_1$  value, until the SS value is minimized. Thus we obtained best fit values of  $K_1=35200 \text{ dm}^3 \text{ mol}^{-1}$  and  $SS=42.49 \text{ mN}^2 \text{ m}^{-2}$ . These values are shown as model  $\alpha 1$  in Table 1. In the case where complexes, DS and  $D_2S$ , only are present (model  $\alpha 2$ ), we used Eqs. 13 and 14 to calculate  $[S]$ . This model is better fit than model  $\alpha 1$ , as shown in Table 1. The concentration dependence of  $\sigma$  thus calculated is shown by the dashed lines in Fig. 2. Model  $\alpha 3$ , in which the complexes of DS and  $DS_2$  are present, is better fit than model  $\alpha 1$ , but the best fit value of  $K_3$  is negative. Therefore, this is an unrealistic model. For model  $\alpha 4$  which accounts for complexes of DS,  $D_2S$ , and  $DS_2$ , we used Eq. 9. For model  $\alpha 5$ , we used Eq. 16. This is also an unrealistic model, since the best fit  $K_4$  value is negative.

To explain the  $\sigma$  data on  $\beta$ -D shown in Fig. 3, we considered four models. As Table 1 shows, model  $\beta 2$ , presuming two complexes of DS and  $DS_2$ , is the best of them. The theoretical  $\sigma$  value based on this model is shown in Fig. 3. For  $\gamma$ -D, model  $\gamma 4$  taking into account three complexes of DS,  $DS_2$ , and  $D_2S_2$  is the best in Table 1. It is remarkable that the second binding ( $K_3$ ) of DM is easier than the first binding ( $K_1$ ). As Fig. 4 shows, the experimental feature for  $\gamma$ -D is quite different from those

Table 1. Best Fit Values of Binding Constants for Dodecyl Maltoside and Cyclodextrins, Estimated from Surface Tension Data<sup>a)</sup>

Model	Eq.	Binding constants/dm <sup>3</sup> mol <sup>-1</sup>					SS
		$K_1$	$K_2$	$K_3$	$K_4$	$K_5$	mN <sup>2</sup> m <sup>-2</sup>
$\alpha$ -Cyclodextrin							
$\alpha 1$	11	35200±2400	—	—	—	—	42.49
$\alpha 2$	13, 14	38400±1100	1150±190	—	—	—	5.99
$\alpha 3$	11	40800±1800	—	-670±40	—	—	15.25
$\alpha 4$	9	38400±1500	1160±270	3±155	—	—	6.01
$\alpha 5$	16	38400±200	1060±710	—	-40±590	—	4.31
$\beta$ -Cyclodextrin							
$\beta 1$	11	26200±1900	—	—	—	—	42.29
$\beta 2$	11	21500±1000	—	1380±260	—	—	6.47
$\beta 3$	9	22300±1600	-68±100	1170±420	—	—	6.32
$\beta 4$	15	21300±300	—	1420±130	—	23±330	6.49
$\gamma$ -Cyclodextrin							
$\gamma 1$	11	682±40	—	—	—	—	25.30
$\gamma 2$	11	470±40	—	3640±940	—	—	6.07
$\gamma 3$	9	431±9	-5±10	4600±180	—	—	7.32
$\gamma 4$	15	407±10	—	3080±10	—	212±10	4.66

a) Surface tension data are taken from Ref. 15.

Table 2. Best Fit Values of Binding Constants for Sodium Dodecyl Sulfate and  $\beta$ -Cyclodextrin, Estimated from Conductance Data<sup>a)</sup>

Model	Eq.	Binding constants/dm <sup>3</sup> mol <sup>-1</sup>			Conductance differences/S cm <sup>2</sup> mol <sup>-1</sup>			SS
		$K_1$	$K_2$	$K_3$	$\Delta\lambda_1$	$\Delta\lambda_2$	$\Delta\lambda_3$	S <sup>2</sup> cm <sup>4</sup> mol <sup>-2</sup>
1	11, 26	5000 $\pm$ 800	—	—	11.1 $\pm$ 0.1	—	—	2.07
2	13, 25	5500 $\pm$ 1900	230 $\pm$ 230	—	11.2 $\pm$ 0.6	10.7 $\pm$ 0.5	—	1.92
3	11, 27	5400 $\pm$ 1000	—	17 $\pm$ 101	11.1 $\pm$ 0.2	—	2.6 $\pm$ 45.6	2.01

a) Conductance data are taken from Ref. 8.

for  $\alpha$ - and  $\beta$ -D. The theoretical line based on model  $\gamma 4$  is well fit to the experimental results.

The  $\sigma$  vs.  $C_S$  plot for a surfactant breaks at the cmc. As Figs. 2—4 show, there is good agreement between the theoretical and experimental cmc values for the three systems.

**Conductance Data for the  $\beta$ -D-SDS System.** Palepu and Reinsborough reported detailed conductance data on the  $\beta$ -D-SDS system and obtained, by fitting Eq. 25 to these data, the following values of  $\Delta\lambda_1$  (S cm<sup>2</sup> mol<sup>-1</sup>) and  $K_1$  (dm<sup>3</sup> mol<sup>-1</sup>) at a constant  $C_S$  (mmol dm<sup>-3</sup>):  $\Delta\lambda_1=10.5$  and  $K_1=7230$  at  $C_S=1$ ,  $\Delta\lambda_1=11.6$  and  $K_1=4690$  at  $C_S=2$ ,  $\Delta\lambda_1=11.3$  and  $K_1=3340$  at  $C_S=3$ , and  $\Delta\lambda_1=12.5$  and  $K_1=1380$  at  $C_S=5$ .<sup>8)</sup> Using these values, we calculated six “observed”  $\Delta\lambda$  values for each SDS concentration from Eq. 25. These “observed” values are shown at four SDS concentrations in Fig. 5. Since the  $K_1$  values obtained by Palepu and Reinsborough depend on  $C_S$ , we attempted to reinterpret their conductance data (shown in Fig. 5) on the basis of a few models.

First, we used Eq. 26 under the assumption that  $K_1$  and  $\Delta\lambda_1$  both are independent of  $C_S$  and  $C_D$ , and obtained best fit values of  $K_1$  and  $\Delta\lambda_1$  by using the 24 data points shown in Fig. 5. This is model 1 shown in Table 2. The

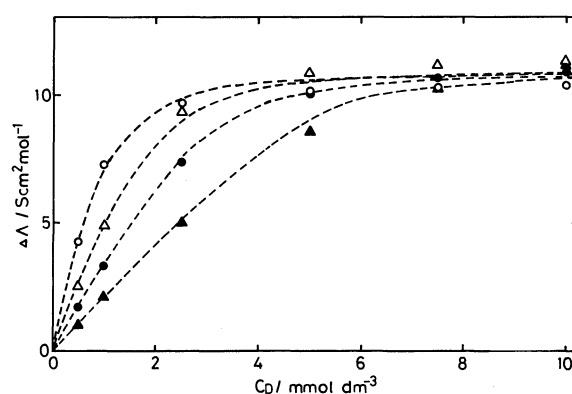


Fig. 5. Decreases in molar conductivity of SDS with addition of  $\beta$ -D at four SDS concentrations (mmol dm<sup>-3</sup>):  $\circ$ , 1;  $\triangle$ , 2;  $\bullet$ , 3;  $\blacktriangle$ , 5. These data are taken from Ref. 8. The dashed lines are calculated on the basis of model 1 shown in Table 2.

theoretical values based on this model are shown by the dashed lines in Fig. 5. In model 2, we used Eqs. 13 and 25 under the assumption that  $K_1$ ,  $K_2$ ,  $\Delta\lambda_1$ , and  $\Delta\lambda_2$  are independent of  $C_S$  and  $C_D$ . A wide range of these values was attempted as initial values for fitting and best fit

values are shown in Table 2. In addition, unexpectedly, the  $\Delta\lambda_1$  value is larger than the  $\Delta\lambda_2$  value. In model 3, two complexes of DS and DS<sub>2</sub> are assumed to be present. The agreement between this model and experiment is close to those for models 1 and 2. The estimated values of  $K_2$  and  $K_3$  are much smaller than those of  $K_1$ , suggesting that the 1:1 complex predominates over the 1:2 and 2:1 complexes for the  $\beta$ -D-SDS system.

**Cmc Data for the  $\beta$ -D-SDS System.** The effect of  $\beta$ -D on the cmc of SDS was investigated by Palepu and Reinsborough.<sup>8)</sup> Their cmc values are shown as a function of  $C_D$  in Fig. 6.

Table 3 shows the best fit parameters of four models to the observed cmc values. A  $\beta$  value of 0.679 is employed.<sup>19)</sup> Although model 4 (Eq. 17) was employed in the literature,<sup>3,7)</sup> this is the worst model in Table 3. The  $K_1$  value of 210 dm<sup>3</sup> mol<sup>-1</sup> is comparable with the literature values<sup>3,7)</sup> obtained from the same equation. These values, however, are smaller by one or two orders than those obtained by conductance<sup>6,8,14)</sup> and fluorescence probe<sup>10)</sup> methods. From Eq. 22, which takes into account the total counterion concentration, we can obtain a reasonable value of  $K_1=1210$  dm<sup>3</sup> mol<sup>-1</sup>.

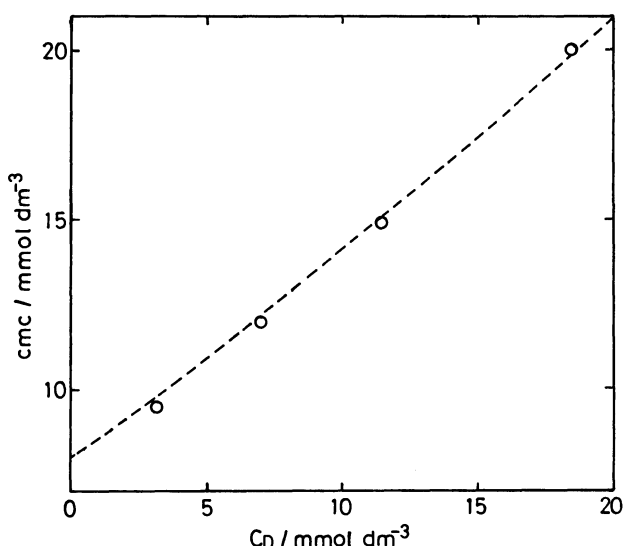


Fig. 6. Changes of cmc of SDS with the concentration of  $\beta$ -D at 25°C. The experimental values (o) are taken from Ref. 8 and the dashed line is calculated on the basis of model 5.

When  $K_2$  or  $K_3$  is taken into consideration, the agreement between theory and experiment is further improved, but negative values of  $K_2$  and  $K_3$  are obtained. When the experimental errors in cmc are taken into consideration, the difference in SS among the four models shown in Table 3 is small. For instance, as Fig. 6 shows, the difference between theory (model 5) and experiment is minor. If a  $cmc_0$  value of 7.5 mmol dm<sup>-3</sup> for SDS, obtained from linear extrapolation of the cmc values (Fig. 6) to  $C_D=0$ ,<sup>8)</sup> was employed, smaller SS values and more reasonable binding constants are obtained. Therefore, the cmc data seem to be insufficient to test the validity of the models.

## Discussion

**The D-DM Systems.** General and rigorous theory accounting for complexes of DS, D<sub>2</sub>S, DS<sub>2</sub>, and D<sub>2</sub>S<sub>2</sub> has been developed and its usefulness has been demonstrated by using some surface tension, conductance, and cmc data. To our knowledge, this is a first systematic paper which reports equilibrium constants for complexation of cyclodextrins with a nonionic surfactant.

As Table 1 shows, the agreement between theory and experiment is much improved by considering ternary complexes (D<sub>2</sub>S and DS<sub>2</sub>) and a quaternary complex (D<sub>2</sub>S<sub>2</sub>). The relative magnitude of the binding constants for the three cyclodextrins is explicable in terms of their cavity size. The order of the  $K_1$  value is  $\alpha > \beta > \gamma$ -D. A possible explanation for this order is the difference in the cavity size of the host molecules.<sup>10)</sup> The dodecyl chain of DM fits snugly into  $\alpha$ -D but rattles around inside the  $\gamma$ -D cavity. The order of binding constants of the 1:1 complexes of sodium perfluorooctanoate with D's is  $\beta > \gamma > \alpha$ -D.<sup>11)</sup> This order is explicable by taking into account that the perfluorocarbon chain is larger than the hydrocarbon chain and the  $\alpha$ -D cavity. A DM molecule can form a D<sub>2</sub>S complex with two  $\alpha$ -D molecules (model  $\alpha_2$  in Table 1). This is consistent with the observations that the cyclodextrin/fatty acid ratio in complexes increases with increasing number of carbon atoms in the fatty acid<sup>21)</sup> and that SDS forms a D<sub>2</sub>S complex with  $\alpha$ -D.<sup>13)</sup> As the  $K_3$  values show, the order of binding constants of the DS<sub>2</sub> complexes is  $\gamma > \beta > \alpha$ -D. This is also expected from the cavity size. From the complexes built up by the Corey-Pauling-Koltun

Table 3. Best Fit Values of Binding Constants for Sodium Dodecyl Sulfate and  $\beta$ -Cyclodextrin, Estimated from Cmc Data<sup>a)</sup>

Model	Eq.	$\beta$	Binding constants/dm <sup>3</sup> mol <sup>-1</sup>			SS mmol <sup>2</sup> dm <sup>-6</sup>
			$K_1$	$K_2$	$K_3$	
4	17	0	210±18	—	—	0.674
5	22	0.679	1210±130	—	—	0.201
6	21	0.679	733±80	-29±3	—	0.033
7	23	0.679	3600±1000	—	-19±3	0.015

a) Cmc data are taken from Ref. 8 and a cmc value of 8.0 mmol dm<sup>-3</sup> for SDS is employed.

molecular model, the structure of the  $\gamma$ -D complex is expected to be DS<sub>2</sub>a in Fig. 1 and that of the  $\beta$ -D complex to be DS<sub>2</sub>b.  $\gamma$ -Cyclodextrin appears to form the D<sub>2</sub>S<sub>2</sub> complex, which has the structure of D<sub>2</sub>S<sub>2</sub>a shown in Fig. 1. If  $\alpha$ -D forms such a quaternary complex, its structure may be written as D<sub>2</sub>S<sub>2</sub>b.  $\gamma$ -Cyclodextrin and pyrene form a 2:2 complex,<sup>22)</sup> which may have a structure similar to D<sub>2</sub>S<sub>2</sub>b.

**The  $\beta$ -D-SDS System.** As was described in the introduction, the  $K_1$  values for  $\beta$ -D-SDS complexation range from 300 to 25600 dm<sup>3</sup> mol<sup>-1</sup>.<sup>7,10)</sup> Furthermore, the  $K_1$  value, as estimated from Eq. 26, decreases with increasing SDS concentration,<sup>8,14)</sup> although the absolute values of  $K_1$  obtained by Palepu and Reinsborough<sup>8)</sup> are about halves of those by Aman and Serve.<sup>14)</sup> If the conductance data by the latter group was employed, we would obtain about two times the  $K_1$  values shown in Table 2. The apparent dependence of  $K_1$  on  $C_S$  may come from the assumption that the conductance difference  $\Delta\lambda_1$  is dependent on the SDS concentration. The  $\Delta\lambda_1$  value for the  $\beta$ -D-SDS system increases with an increase in  $C_S$ , but that for the  $\beta$ -D-tetradecyltrimethylammonium bromide system decreases with an increase in  $C_S$ . Therefore, our assumption that the conductance differences are independent of  $C_S$  and  $C_D$  will be a good approximation at the present time. It is not easy to determine the values of  $K_2$  and  $K_3$  from conductance data, when these values are much smaller than  $K_1$ . The molar conductivity of SDS at 1 mmol dm<sup>-3</sup> decreases with addition of  $\alpha$ -D up to 3 mmol dm<sup>-3</sup> and slightly increases with further addition of  $\alpha$ -D.<sup>13)</sup> It is not easy to interpret this result. From conductance data on mixtures of sodium alkanesulfonates with  $\alpha$ -D at 25°C, reported by Satake et al.,<sup>4)</sup> we can estimate the values of  $\lambda_{DS} + \lambda_{Na^+}$ . Regardless of the number of carbon atoms in the surfactants, these values are about 57.5 S cm<sup>2</sup> mol<sup>-1</sup>. This independence of  $\lambda_{DS}$  from the alkyl chain length suggests that the translational mobility of DS ion is controlled mainly by the size of the cyclodextrin, the bigger component. The same tendency is found in the sodium alkanesulfonates- $\beta$ -D-systems;<sup>6)</sup> the values of  $\lambda_{DS} + \lambda_{Na^+}$  are about 56.5 S cm<sup>2</sup> mol<sup>-1</sup>, regardless of the alkyl chain length. For these surfactants, as expected, the molar conductivity decreases with increasing chain length of the surfactant.<sup>4,6)</sup> However, the order of the molar conductivity for sodium alkanoates is octanoate > heptanoate > hexanoate > dodecanoate. The order of the  $\Delta\lambda_1$  value is hexanoate > heptanoate > octanoate > decanoate > nonanoate > dodecanoate.<sup>9)</sup> For mixtures of SDS with cyclodextrins, the order of the  $\Delta\lambda_1$  value is  $\alpha$ -D >  $\beta$ -D >  $\gamma$ -D<sup>8)</sup> and for mixtures of sodium perfluorooctanoate with cyclodextrins, the order is  $\beta$ -D >  $\gamma$ -D >  $\alpha$ -D.<sup>11)</sup> These data reported by Palepu et al. demonstrate that we have serious difficulties in predicting the  $\Delta\lambda_1$  value from only a knowledge of complex species.

Applying Eq. 17 to the cmc data determined by Palepu

and Reinsborough,<sup>8)</sup> we obtained a  $K_1$  value of 210 dm<sup>3</sup> mol<sup>-1</sup>. This value is smaller than 300<sup>7)</sup> and 356<sup>3)</sup> obtained by the same method. Since we used the cmc values obtained by Palepu and Reinsborough,<sup>8)</sup> the  $K_1$  values (Table 3) calculated from Eqs. 21–23 may be too small.

The binding constants,  $K_1$  and  $K_2$ , for D and long chain ionic surfactants increase with increasing number of carbon atoms of the surfactants, although short chain ionic surfactants form only a 1:1 complex.<sup>4,8–10,13)</sup> This result shows that hydrophobic interactions play a predominant role in the complexation. Our  $K_1$  value for  $\beta$ -D and DM is close to 25600 dm<sup>3</sup> mol<sup>-1</sup>, which was estimated by taking into account 1:1 and 2:1 complexations of  $\beta$ -D and SDS.<sup>10)</sup> However,  $\beta$ -D and DM form a 1:2 complex but do not form any 2:1 complex, as shown in Table 1. The reason for this discrepancy between SDS and DM is unknown. Several ions, such as I<sup>-</sup>, Br<sup>-</sup>, Cl<sup>-</sup>, and NO<sub>3</sub><sup>-</sup> can be entrapped into  $\beta$ -D.<sup>23)</sup> This result shows a complicated nature of the cavity. Some Na<sup>+</sup> ions might be associated with the 1:1 complex.<sup>11)</sup> If this is true, Eqs. 24–27 must be modified.

In conclusion, since aliphatic compounds including surfactants are long and fine molecules, relative to aromatic compounds, their ternary and quaternary complexes with cyclodextrins as well as their binary complex should be taken into account. The surface tension method can be used as a unique tool for the investigation of cyclodextrin-surfactant interactions. Although there are several uncertainties in cmc and conductance data and their interpretations for the  $\beta$ -D-SDS system, DS is the predominant complex and the  $K_1$  value appears to be 5000 dm<sup>3</sup> mol<sup>-1</sup>. The present results provide useful data for industrial applications of cyclodextrins and for understanding the behavior of cyclodextrins in bodies.

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